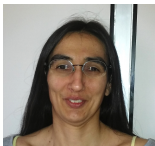


Election in Unidirectional Rings with Homonyms

Anaïs Durand

February 14, 2023

Joint Work with



Karine
Altisen



Stéphane
Devismes



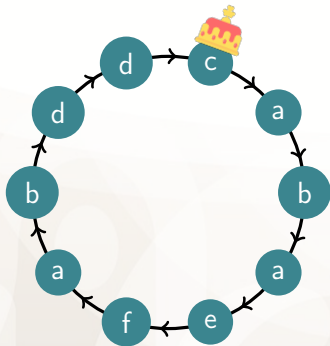
Ajoy K.
Datta



Lawrence L.
Larmore

- ▶ Leader Election in Rings with Bounded Multiplicity (Short paper).
SSS'2016
- ▶ Leader Election in Asymmetric Labeled Unidirectional Rings.
IPDPS'2017
- ▶ Election in Unidirectional Rings with Homonyms.
Journal of Parallel and Distributed Computing, 2020

Context



- ▶ Leader election
- ▶ Ring networks
- ▶ Homonym processes
- ▶ Asynchronous message-passing
- ▶ Reliable FIFO channels

State of the Art: LE in Rings with Homonyms

- ▶ [Flocchini *et. al.*, 04]
Asynchronous LE in **bidirectional** rings
with 2 labels, asymmetric labeling and n is prime and known
- ▶ [Dobrev, Pelc, 04]: Decision on computability + LE
 - ▷ **Synchronous** LE in (bidirectional or unidirectional) rings
 - ▷ **Asynchronous** LE in **bidirectional** ringswith knowledge of bounds $m \leq n$ and $M \geq n$
- ▶ [Delporte *et. al.*, 14]:
Asynchronous LE in **bidirectional** rings where
number of labels $>$ greatest proper divisor of n
 - ▷ with knowledge of n
 - ▷ without additional knowledge (but only message-terminating)

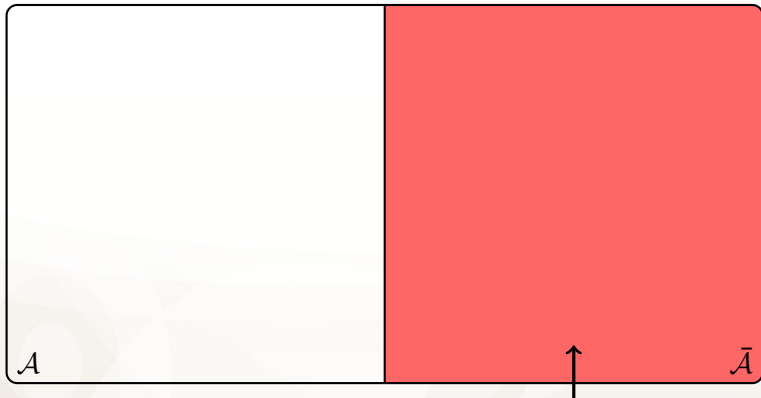
A different approach: Bounding the number of homonyms

- ▶ Inspired from [Dereniowski, Pelc, 16]:
Decision on computability + LE
in networks of **arbitrary topology**
with knowledge of a bound **k** on the multiplicity of a label ℓ .

A different approach: Bounding the number of homonyms

- ▶ Inspired from [Dereniowski, Pelc, 16]:
Decision on computability + LE
in networks of **arbitrary topology**
with knowledge of a bound **k** on the multiplicity of a label ℓ .
- ▶ Unidirectional ring classes:
 - ▷ \mathcal{H}_k : multiplicity of a label $\leq k$
 - ▷ \mathcal{U}^* : at least one label is unique
 - ▷ \mathcal{A} : asymmetric labeling
- ▶ Goal: Asynchronous process-terminating leader election

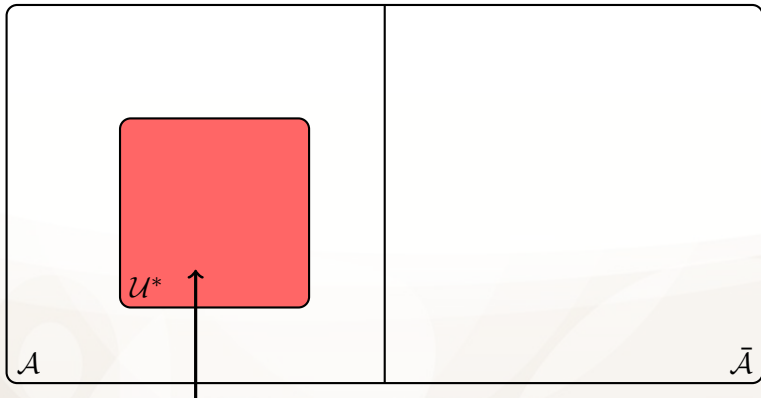
Results



Impossible
[Angluin, 80]

- ▶ \mathcal{H}_k : multiplicity of a label $\leq k$
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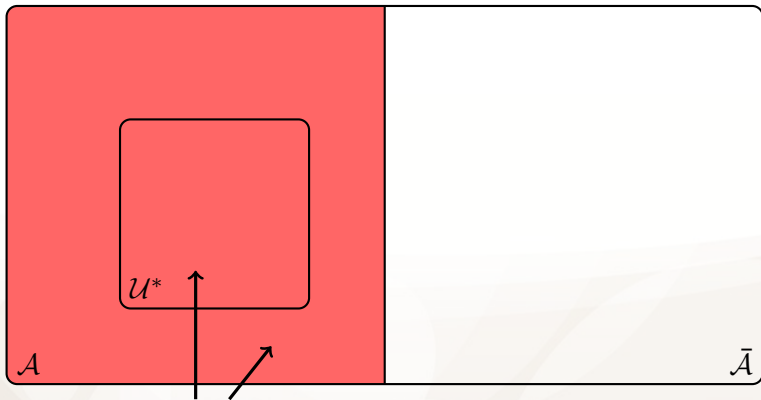
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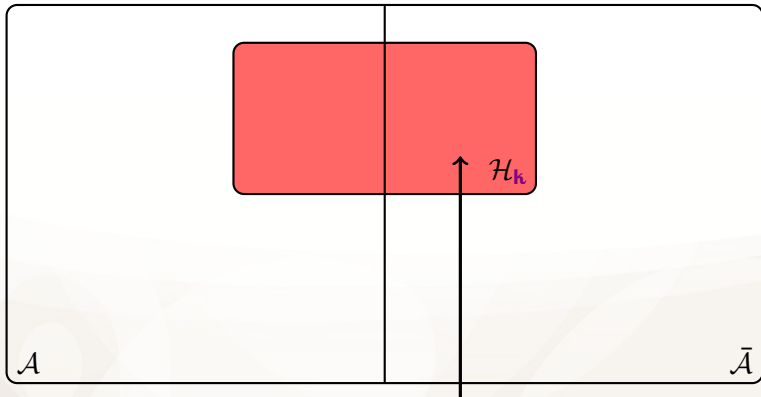
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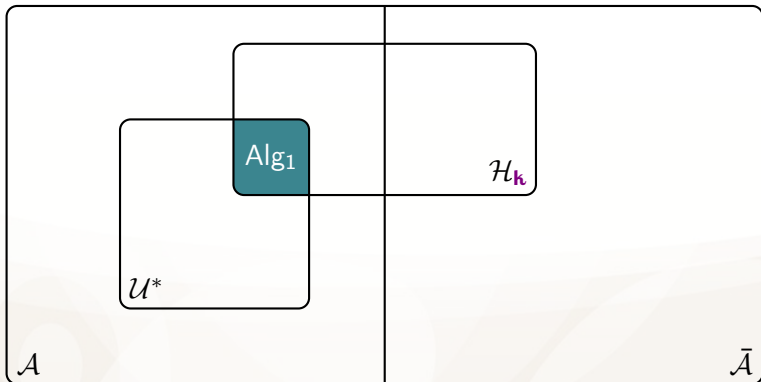
Results



Impossible
[SSS'16]

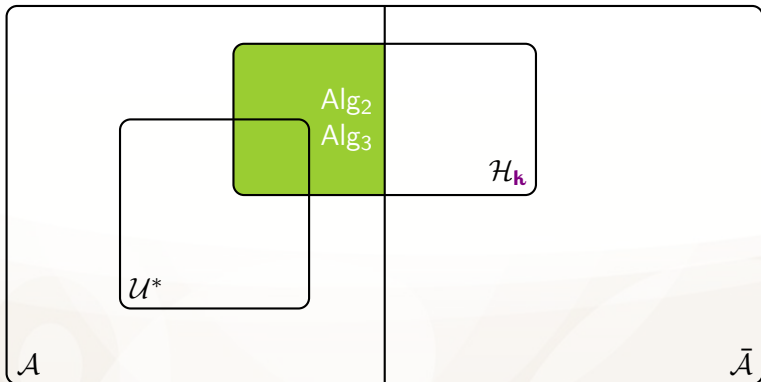
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Results



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Results

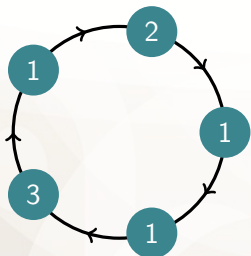


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Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

Goal: Electing the lowest unique label

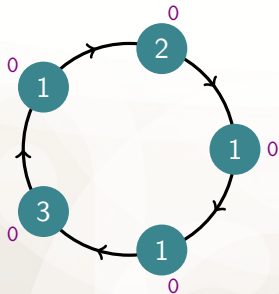
$k \geq 3$



Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

Goal: Electing the lowest unique label

$k \geq 3$

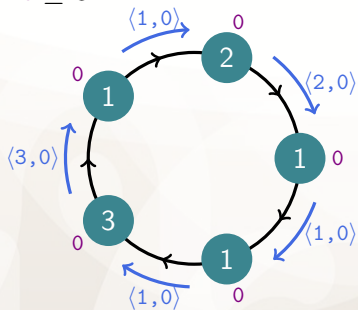


► Counter = rough estimation of the multiplicity

Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

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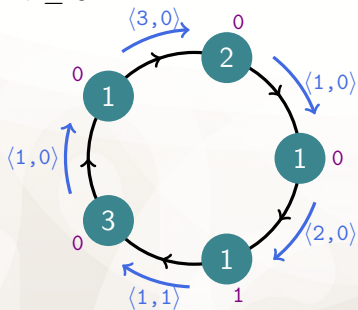


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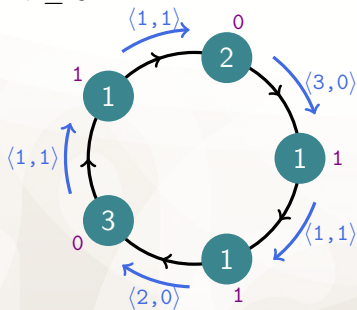


► Counter = rough estimation of the multiplicity

Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

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▶ Counter = rough estimation of the multiplicity

▶ Process elimination:

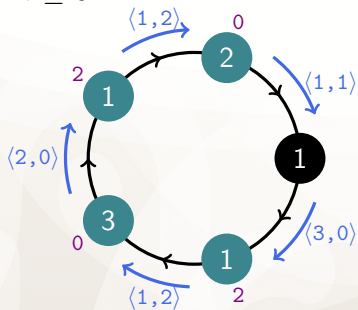
▷ Lower counter, \neq label

→ label not unique

Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

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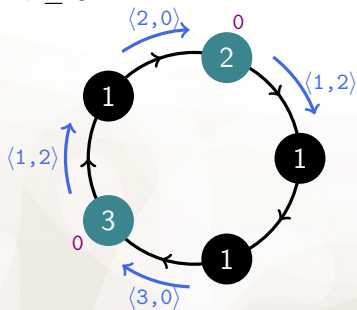


- ▶ Counter = rough estimation of the multiplicity
- ▶ Process elimination:
 - ▷ Lower counter, \neq label
→ label not unique
- ▶ Message elimination:
 - ▷ Passive, same label
→ not relevant

Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

Goal: Electing the lowest unique label

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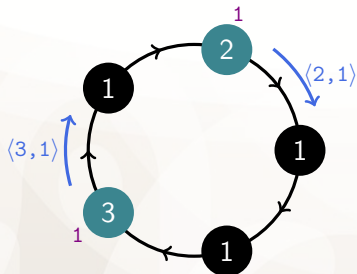


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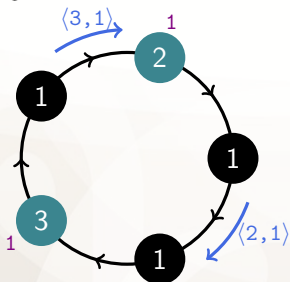


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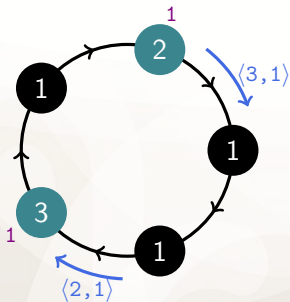


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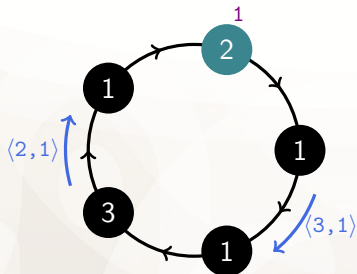


- ▶ Counter = rough estimation of the multiplicity
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Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

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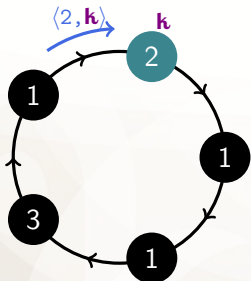


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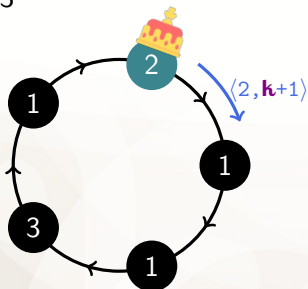


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- ▶ Election detection:
receiving $\langle \text{label}, k \rangle$

Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

Goal: Electing the lowest unique label

$k \geq 3$



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Alg₁ for $\mathcal{U}^* \cap \mathcal{H}_k$

Asynchronous process-terminating leader election

- ▶ Time complexity: $O(kn)$ steps

asymptotically optimal

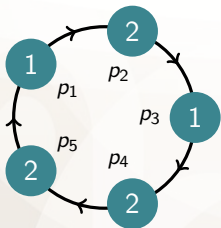
- ▶ Number of messages: $O(n^2 + kn)$

- ▶ Memory requirement: $\lceil \log(k+1) \rceil + b + 4$ bits

where b = number of bits to store a label

asymptotically optimal

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

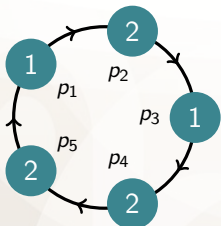


► Label sequence at p_1 :

$$\mathcal{L}(p_1) = 12212$$

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Electing the process whose label sequence is a Lyndon Word
Lyndon Word = smallest rotation in lexicographic order



► Label sequence at p_1 :

$$\mathcal{L}(p_1) = 12212$$

Rotations:

$$12212 \quad (= \mathcal{L}(p_1))$$

$$21221 \quad (= \mathcal{L}(p_2))$$

$$12122 \quad (= \mathcal{L}(p_3)) \quad \text{LW} \neq \mathcal{L}(p_1)$$

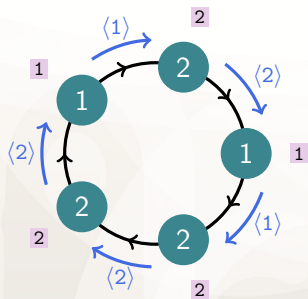
$$21212 \quad (= \mathcal{L}(p_4))$$

$$22121 \quad (= \mathcal{L}(p_5))$$

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

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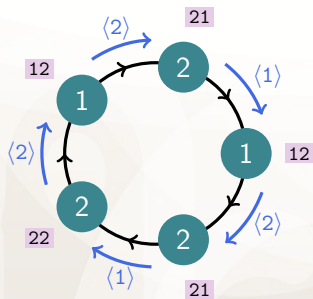


► Local label aggregation

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

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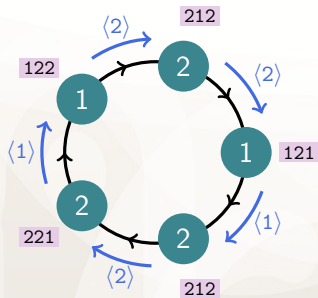


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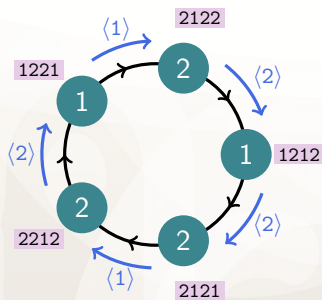


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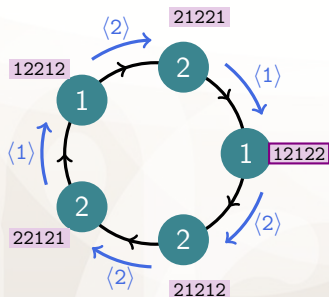


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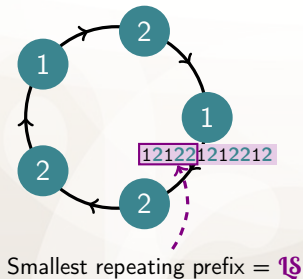
▶ Local label aggregation

▶ n is not known
→ no detection of election yet

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Electing the process whose label sequence is a Lyndon Word
Lyndon Word = smallest rotation in lexicographic order

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▶ Local label aggregation

▶ n is not known

→ no detection of election yet

▶ Termination detection:

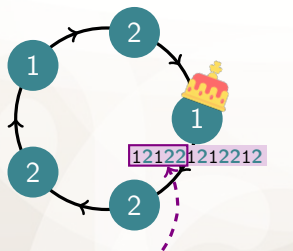
$(2k + 1) \times$ the same label

→ $\geq 2 \times$ the label sequence

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Electing the process whose label sequence is a Lyndon Word
Lyndon Word = smallest rotation in lexicographic order

$k \geq 3$



Smallest repeating prefix = 12
= Lyndon Word

- ▶ Local label aggregation
- ▶ n is not known
→ no detection of election yet
- ▶ Termination detection:
($2k + 1$) \times the same label
→ $\geq 2 \times$ the label sequence

Alg₂ for $\mathcal{A} \cap \mathcal{H}_k$

Asynchronous process-terminating leader election

- ▶ Time complexity: $O(kn)$ steps

asymptotically optimal

- ▶ Number of messages: $O(kn^2)$

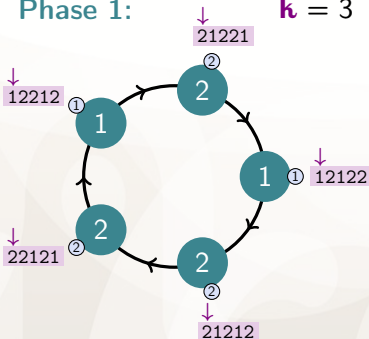
- ▶ Memory requirement: $O(knb)$ bits

where b = number of bits to store a label

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 1: $k = 3$

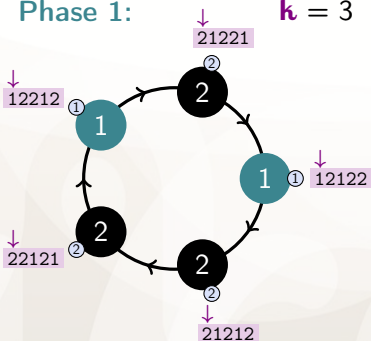


- During a phase:
not lowest value of active processes
→ process eliminated

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

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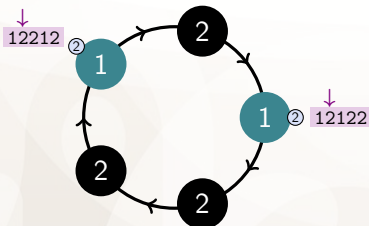
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Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 2:

$k = 3$



► During a phase:

not lowest value of active processes

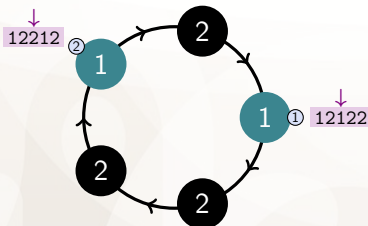
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Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

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Phase 3:

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► During a phase:

not lowest value of active processes

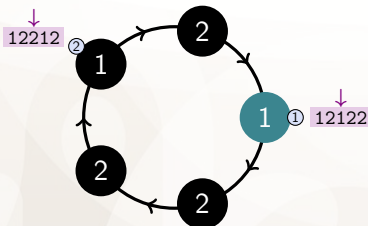
→ process eliminated

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Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 3:

$k = 3$



► During a phase:

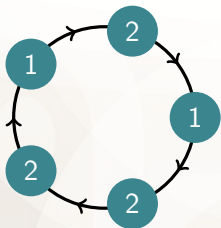
not lowest value of active processes

→ process eliminated

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

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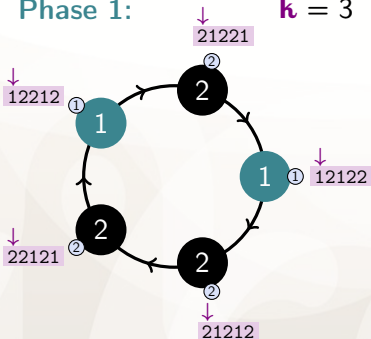


- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 1: $k = 3$



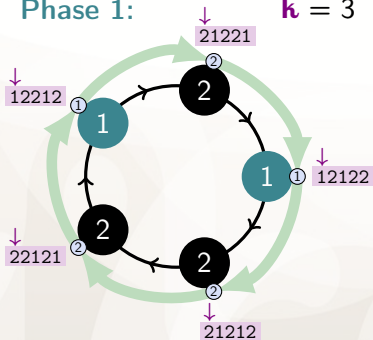
► During a phase:
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Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

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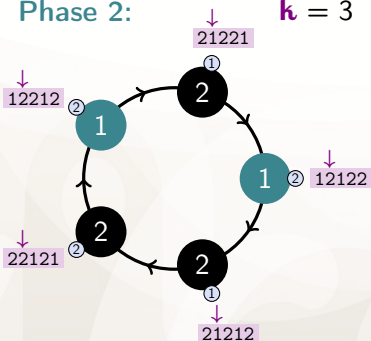
► During a phase:
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► Phase switch:
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Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 2: $k = 3$



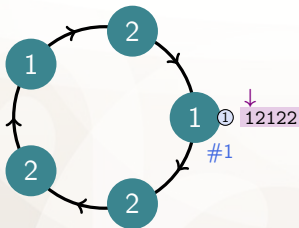
► During a phase:
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→ process eliminated

► Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 1: $k = 3$



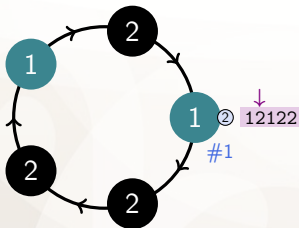
- ▶ During a phase:
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when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 2:

$k = 3$



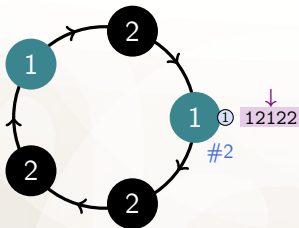
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when? $k + 1$ times current value
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 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 3:

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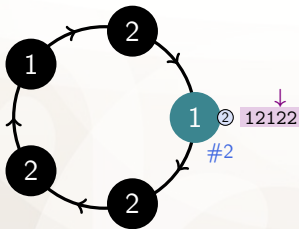
- ▶ During a phase:
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Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 4:

$k = 3$

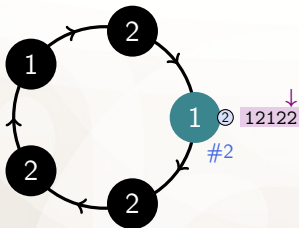


- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 5: $k = 3$



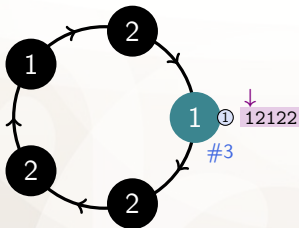
- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 6:

$k = 3$



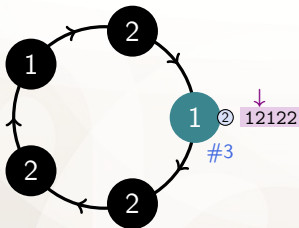
- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 7:

$k = 3$

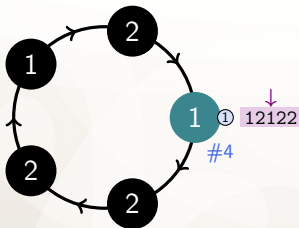


- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 8: $k = 3$



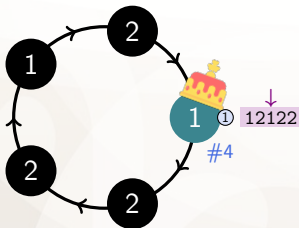
- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Goal: Reducing the memory requirement of Alg₂ using Peterson principle with radix sort

Phase 8:

$k = 3$



- ▶ During a phase:
not lowest value of active processes
→ process eliminated
- ▶ Phase switch:
sending its value to its neighbor
when? $k + 1$ times current value
- ▶ Termination detection:
 $k + 1$ times its label as value

Alg₃ for $\mathcal{A} \cap \mathcal{H}_k$

Asynchronous process-terminating leader election

▶ Time complexity: $O(k^2 n^2)$ steps

▶ Number of messages: $O(k^2 n^2)$

▶ Memory requirement: $2 \lceil \log k \rceil + 3b + 5$ bits

where b = number of bits to store a label

asymptotically optimal

Conclusion

Process-terminating **leader election** for unidirectional rings with **label multiplicity bounded** by k and

- ▶ at least one **unique label**

$$\mathcal{U}^* \cap \mathcal{H}_k$$

	<u>Time</u>	<u>Memory</u>
Alg.1	asymptotically optimal	asymptotically optimal

- ▶ **asymmetric labeling**

$$\mathcal{A} \cap \mathcal{H}_k$$

	<u>Time</u>	<u>Memory</u>
Alg.2	asymptotically optimal	large
Alg.3	large	asymptotically optimal